



ACCOUNT OPENING FORM FOR NRI

FOR CSO USE ONLY

Form No.	1234567890
Client Code	
DP ID	
Branch Code	
AP Code	
Ver: 2.1	Jan 2025

EQUITY & COMMODITY B2B

Application Type	☐ New ☐ Update
KYC Number	

NRI ACCOUNT OPENING DEMO FORM

MENTIONED BRANCH CODE / SB TAG

Connect with us [f](#) [@](#) [t](#) [v](#) [in](#) [✈](#)

INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1.	KRA Form and Account Opening Form	A. KYC Form - Document captures the basic information about the constituent and an instruction/check list.	1 to 5
		B. Document captures the additional information about the constituent relevant to Trading/ Demat account and an instruction/check list.	
2.	Tariff sheet	Document detailing the rate/amount of brokerage & other charges levied on the client for trading on exchange(s) & DP Service charges/Fee Structure	6
3.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	SEPARATE COPY FOR CLIENT
4.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, Authorised Person and client for trading on equity and commodity exchange (including additional rights & obligations in case of internet/wireless technology based trading).	
5.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities & commodities market.	
6.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
7.	Rights & Obligations of Beneficial Owners & Depository Participants:	Document stating the Rights & Obligations of Beneficial Owners and Depository Participants.	
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
1.	Running Account Authorisation	Authorisation to maintain Running Account	7
2.	Voluntary Terms & Conditions	Additional terms & conditions specific to clients for the purpose of operational efficiency.	7 to 9
3.	Most Important Terms and Conditions	Authorisation to maintain Running Account Consent for ECN declaration	10
4.	Policy on Handling of Good	Till Cancelled Orders of Client	11
5.	Nomination Form, Nominee opt out Form, Power of Attorney & DDPI POA	Nominee details for Trading and Demat Account / Consent for Nominee opt out / POA provided by BO to Angel for settlement / Margin purpose / Demat Debit & Pledge Instruction	12 to 18
6.	CKYC	Application form for Central KYC Registration	19
7.	Declaration on FATCA	Declaration by NRI clients for compliance with FATCA	20
8.	Declaration by NRI clients	Declaration by NRI client for Trading/Demat Account	21 to 22
9.	Undertaking	Undertaking with respect to submission of Passport as identity & address proof	22

IMPORTANT NOTE

1. Signature Types:



Signature of Account Holder

Client sign here



Signature of Introducer



Signature of Guardian



Signature of First Witness



Signature of Second Witness

2. In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

IMPORTANT INSTRUCTIONS

- A) Fields marked with* are mandatory fields
- B) Tick "✓" wherever applicable.
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, Please tick (✓) in the box section number and strike off the sections not required to be updated
- F) Please read section wise detailed guidelines / instruction at the end.

- G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode

KYC CHECKLIST (PLEASE TICK WHEREVER APPROPRIATE)

a) Passport copy (page with photograph, address page and page showing recent arrival stamp of India*)

b) Visa copy / OCI or PIO card copy is required if place of birth is other than India.

c) Pan card copy

d) Foreign address proof (Any one of the following)

- i. Passport / Driving License / Registered Lease or Sale Agreement of Residence / Flat Maintenance bill/ Insurance Copy.
- ii. Utility bill (Electricity bill / gas bill / water bill) (Not more than 2 months old)
- iii. Original bank statement & Bank verification letter (on letterhead of bank)

e) Indian address proof (Any one of the following)

- i. Passport / Driving License / UID (Aadhaar) / Voter's ID / Ration Card / Registered Lease or Sale Agreement of Residence / Flat Maintenance bill/ Insurance Copy.
- ii. Utility bill (Electricity bill / gas bill / water bill) (Not more than 2 months old)
- iii. Original bank statement & Bank verification letter (on letterhead of bank)

f) PIS Permission copy - PIS is portfolio Investment scheme of RBI under which NRIs are allowed to trade in Indian secondary market. It can be obtained from Authorised bankers(Ads).

Note: All the above mentioned documents need to be self attested. *In absence of in-person verification, all the above mentioned documents need to be attested either by Indian embassy or consulate general of the country where NRI resides / overseas notary / Overseas Banker / Court, Magistrate, Judge+ self attested.

g) Cancelled cheque leaf of NRE / NRO savings bank account.

Additional Documents:

In case of F&O, client will have to submit any one of the below Income proof:

- Copy of ITR Acknowledgment (for last financial year)
- Copy of Annual Accounts (for last financial year)
- Copy of Form 16 in case of salary income (for last financial year)
- Net worth certificate (latest one, or at the end of last financial year)
- Salary Slip
- Bank account statement or Bank passbook (for last 6 months)
- Any other relevant documents substantiating ownership of assets
- Self-declaration along with relevant supporting. (The income as per declaration should be commensurate with the supporting document provided)

Note: F&O is possible only through NRO (Non repatriable) Account.

A Clarification/Guidelines on filling 'Personal Details' section

- 1 Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 One the following is mandatory: Mother's name, Spouse's name, Father's name.

B Clarification / Guidelines on filling 'Current Address details' section

- 1 In case of deemed PoA such as utility bill, etc. or self declaration, the document need not be uploaded on CKYCR
- 2 PoA to be submitted only if the submitted Pol does not have current address or address as per Pol is invalid or not in force.
- 3 State/U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 4 In Section 2, one of I, II, and III is to be selected. In case of online E-KYC authentication, II is to be selected.
- 5 In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
- 6 List of documents for 'Deemed Proof of Address':

Document Code Description

- | | |
|---|---|
| 1 | Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill). |
| 2 | Property or Municipal tax receipt. |
| 3 | Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address. |
| 4 | Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation. |
- 7 Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
 - 8 "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
 - 9 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.
 - 10 RES may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository

C Clarification / Guidelines on filling 'Contact details' section

- 1 Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- 2 Do not add '0' in the beginning of Mobile number.

D Clarification / Guidelines on filling 'Related Person details' section

- 1 Provide KYC number of related person, if available.

E Clarification on Minor

- 1 Guardian details are optional for minors above 10 years of age for opening of bank account only
- 2 However, in case guardian details are available for minor above 10 years of age, the same (or CKYCR number of guardian) is to be uploaded.

List of two- digit state / U.T codes as per Indian MotorVehicle Act, 1988

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO3166 two - digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY		PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT		WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GO	Macao	MO		ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG		SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY		SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML		SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH		SK
Australia	AU	French Guiana	GF	Martinique	MO	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR		SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT		ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM		SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC		LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME		SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA		SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM		CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR		TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GV	Netherlands	NL		
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ		
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE		
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU		
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP		
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM		
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW		
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA		
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY		
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH		
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL		
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR		
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands British	VG
Cote d'Ivoire !Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion !Réunion	RE		
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU		
Curacao !Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint Barthélemy	BL		
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		

Know Your Client (KYC)
Application Form (For Individuals Only)

Please fill the form in ENGLISH and in BLOCK letters

Fields marked * are mandatory

Fields marked* are pertaining to CKYC and mandatory only if processing CKYCalso



CDSL VENTURES LIMITED
....Exploring New Horizons



Application Number: **TICK NEW KYC**

Application Type*: ☒ New KYC ☐ Modification KYC

KYC Mode*: Please Tick(✓)

☒ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Offline EKYC ☐ Digilocker

1. PERSONAL DETAILS (Please refer instruction A at the end)

☐ Name* (Same as ID proof) Prefix _____
(If any*) Maiden Name _____
Father / Spouse Name _____
Mother Name _____
Date of Birth* DD - MM - YYYY
PAN* _____
Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender
Marital Status* ☐ Married ☐ Unmarried ☐ Others _____
Residential Status* ☐ Resident Individual ☒ Non Resident Indian
☐ Foreign National ☐ Person of Indian Origin
Nationality* ☒ Indian ☐ Others

Fill up here the client name
Father /spouse
name,DOB,Pan Number,
Gender, Residential status &
Nationality



In case of the
Foreign nationality

2. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)

(Certified copy of any one of the following Proof of Identity[PoI] needs to be submitted)

I ☐ A- Passport Number _____
☐ B- Voter ID Card _____
☐ C- PAN Card _____
☐ D- Driving Licence _____ Driving Licence Expiry Date DD - MM - YYYY
☐ E- UID (Aadhaar) _____
☐ F- NREGA Job Card _____
☐ G- Others(any document notified by the central government) _____ Identification Number _____
☐ H- National Population Register Letter _____ Identification Number _____
☐ I- Proof of Possession of Aadhar _____
II ☐ E-KYC Authentication _____
III ☐ Offline verification of Aadhar _____

Update the details
of the given proof
of Addresses

Address

Line 1* _____
Line 2 _____
Line 3 _____ City / Town / Village* _____
District* _____ Pin / Post Code* _____ State / U.T Code* _____ ISO 3166 Country Code* _____
State* _____ Country* _____

Fill up here the Client Indian
Address or Foreign Address

3. CURRENT ADDRESS DETAILS (Please refer instructions B at the end)

☐ Same as above mentioned address (in such cases address details as below need not be provided)

I Certified copy of OVD or equivalent d-documents of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

I ☐ A- Passport Number _____
☐ B- Voter ID Card _____
☐ C- PAN Card _____
☐ D- Driving Licence _____ Driving Licence Expiry Date DD - MM - YYYY
☐ E- UID (Aadhaar) _____
☐ F- NREGA Job Card _____
☐ G- Others(any document notified by the central government) _____ Identification Number _____
☐ H- National Population Register Letter _____ Identification Number _____
☐ I- Proof of Possession of Aadhar _____
II ☐ E-KYC Authentication _____
III ☐ Offline verification of Aadhar _____

Update the Details
of Given Proof of
Addresses

Address		Fill up here the Client Indian Address or Foreign address	
Line 1*			
Line 2			
Line 3			Town / Village*
District*	Pin / Post Code*		* ISO 3166 Country Code*
State*	Country*		

✓ 4. CORRESPONDENCE / LOCAL ADDRESS DETAILS* (Please see instruction E at the end)

☐ Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

- I ☐ A- Passport Number _____
- ☐ B- Voter ID Card _____
- ☐ C- PAN Card _____
- ☐ D- Driving Licence _____ Driving Licence Expiry Date _____
- ☐ E- UID (Aadhaar) _____
- ☐ F- NREGA Job Card _____
- ☐ G- Others(any document notified by the central government) _____ Identification Number _____
- ☐ H- National Population Register Letter _____ Identification Number _____
- ☐ I- Proof of Possession of Aadhar _____
- II ☐ E-KYC Authentication _____
- III ☐ Offline verification of Aadhar _____

Update the Details of the given proof of addresses

Address		Fill up here the Client Foreign Address or Indian Address	
Line 1*			
Line 2			
Line 3			Town / Village*
District*	Pin / Post Code*		* ISO 3166 Country Code*
State*	Country*		

5. CONTACT DETAILS 7. REMARKS (If any) (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction F at the end)

Tel. (Off)	Tel. (Res)	Mobile
FAX	Email ID	

Fill up Indian or foreign Mobile No & Email id

REMARKS (If any)

6. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under -take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

PLACE: _____ DATE: _____ (DD-MM-YYYY)

Applicant e- SIGN

Applicant Wet Signature

Client sign here in the Wet signature column same as per Pan card or Any photo ID proof

7. For Office Use Only

Documents Received ☐ Certified Copies ☐ E-KYC data received from UIDAI ☐ Data received from Offline verification

☐ Digital KYC Process ☐ Equivalent e-documents ☐ Video based KYC

In-Person Verification (IPV) carried out by*

Date DD - MM - YYYY

Emp. Name _____

Emp. Code _____

Emp. Designation _____

Emp. Branch _____

Do the In person Verification details of the Branch or AP/Sub broker



Intermediary Details*

- ☒ Self certified document copies received (OVD)
- ☒ True Copies of documents received (Attested)

AMC / Intermediary Name :



TRADING ACCOUNT RELATED DETAILS - NRE

A. BANK ACCOUNT DETAILS

Bank 1 : Bank Name & Address:

NRE Saving A/c No.

MICR Code

Bank 2 : Bank Name & Address:

NRE PIS A/c No.

RBI Approval Date DD / MM / YYYY

MICR Code

Fill here in Bank 1 NRE saving Bank details mentioned NRE Saving A/c no MICR, IFSC, code

In Bank 2 NRE PIS A/c no RBI Ref no, Approval Date

Above All details compulsory need to fill up

IFSC Code

B. DEPOSITORY ACCOUNT DETAILS

DP 1 Details

DP 2 Details

Depository

☒ CDSL

☐ CDSL

☐ NSDL

DP Name

Angel One Ltd

Client Name

Fill up client name here

DP ID

12033201, 12033202 & 12033203

Client ID

DEMAT ACCOUNT RELATED ADDITIONAL DETAILS (TO BE FILLED BY DEPOSITORY PARTICIPANT)

DP Internal Ref. No.

C. TYPE OF ACCOUNT (PLEASE TICK WHICHEVER IS APPLICABLE)

Status

Sub Type

☒ NRI

☒ NRI Repatriable

☐ Others (Specify):

TRADING ACCOUNT RELATED DETAILS - NRO

A. BANK ACCOUNT DETAILS

Bank 1 : Bank Name & Address:

NRO Saving A/c No.

MICR Code

Bank 2 : Bank Name & Address:

NRO PIS A/c No.

RBI Approval Date DD / MM / YYYY

MICR Code

Fill here in Bank 1 NRO saving Bank details mentioned NRE Saving A/c no MICR, IFSC, code

In Bank 2 NRO PIS A/c no RBI Ref no, Approval Date

Above All details compulsory need to fill up

RBI Reference No

IFSC Code

B. DEPOSITORY ACCOUNT DETAILS

DP 1 Details

DP 2 Details

Depository

☒ CDSL

☐ CDSL

☐ NSDL

DP Name

Angel One Ltd

Client Name

Fill client name here

DP ID

12033201, 12033202 & 12033203

Client ID

DEMAT ACCOUNT RELATED ADDITIONAL DETAILS (TO BE FILLED BY DEPOSITORY PARTICIPANT)

DP Internal Ref. No.

C. TYPE OF ACCOUNT (PLEASE TICK WHICHEVER IS APPLICABLE)			
Status	Sub Type		
☒ NRI	☒ NRI Non-Repatriable	☐ Others (Specify):	
D. DEALING THROUGH AUTHORISED PERSON (AP) / OTHER STOCK BROKERS			
If Yes, please specify:			
Name of Stock Broker	Name of AP		
Name of Exchange	Client Code (as given by other broker)		
Details of disputes/dues pending from/to such stock broker:			
Whether you are a Member / AP of any Exchange		☐ Yes ☐ No	
If yes, provide SEBI REGISTRATION NO:			
E. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE			
Contract Note/Holding & Transaction Statement including CAS/Other Documents* (For ECN in commodity segment, please refer pg. no. 9)	☐ Electronic ☐ Physical		
Receive Delivery Instruction Slip	☐ No ☐ Yes		
Share Email ID with Registrar & Transfer Agent	☐ No ☐ Yes		
Receive Annual Report	☐ Electronic ☐ Physical	☐ Both	
DP Account Statement	☐ Monthly ☐ Fortnightly ☐ As per SEBI Regulation	☐ Weekly	
Declaration for Mobile Number	☐ Self ☐ Spouse ☐ Child ☐ Parent		
Declaration for Email ID	☐ Self ☐ Spouse ☐ Child ☐ Parent ☐ Do not have		
Bill-to-bill settlement	☐ Yes ☐ No		
Running Account Settlement	☐ Monthly ☐ Quarterly		
Whether you wish to avail of the facility of internet trading / wireless technology (please specify)	☐ Yes ☐ No		
Margin Trading Facility (Refer Rights & Obligation document)	☐ Yes ☐ No		
SMS Alert Facility - Mandatory if you are giving Power Of Attorney (PoA). Ensure that the mobile number is provided in the KYC Application Form.	☐ Yes ☐ No		
Note: 1. Dividend / Interest will be credited to bank account via ECS. 2. In case client doesn't opt for DIS booklet, it would be issued on request at any later date. 3. BO can view his ISIN balances, transactions & value portfolio online. To register for Easi please visit website www.cdslindia.com			
F. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002			
Experience	Number of years of Investment / Trading Experience		
Gross Annual Income	☐ Below 1 lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs OR ☒ Net Worth in ` (*Net worth should not be older than as on date DD / MM / YYYY)		
Occupation (Please tick)	☐ Govt Service ☐ Professional ☐ Private Sector Service ☐ Public Sector ☐ Business ☐ Retired ☐ Agriculturist ☐ Housewife ☐ Student ☐ Others (P/s Specify) _____		
Nature of Business	☐ Manufacturing ☐ Services ☐ Trading ☐ Consultancy ☐ Others _____		
Is the Client Politically Exposed Person (PEP) or Related to a PEP		☐ Yes ☐ No	
PMLA details compulsory need to Fill up select the Given slab of Annual Income of the client. Tick Occupation & Nature of business Tick the PEP status of the client			

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Please sign in the relevant boxes where you wish to trade.

Please strike off the segment not chosen by you.

Exchanges	NSE & BSE			
All Segments	Cash	Mutual Fund	F & O	Debt
		NA	NA	

If you do not wish to trade in any of segments/ Mutual Fund, please mention here _____

Client sign required in cash segment

H. PAST ACTIONS

Tick No or Specify the details

Details of any action / proceedings initiated / pending / taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its Partners / promoters / whole time directors / authorized persons in charge of dealing in securities during the last 3 years:

☒ No

If yes, please specify

I. INTRODUCER DETAILS

Status of Introducer ☐ AP ☐ Employee ☐ Existing Client ☐ Others (Specify):

Introducer Name:

Emp/Client Code:

Address:

Mentioned the Introducer details Sub broker /AP and Branch Introducer person fill all the details with sign of Introducer

Mobile No:

Signature of the Introducer



Introducer sign here

DECLARATION

I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP/Stock broker any change(s) in the details / particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

I / We have received, read and understood the 'Rights and Obligations Document' for trading and DP Services, 'Risk Disclosure Document', 'Guidance Note' and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I/We also confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet. I / We have also the informed that the standard set of documents has been displayed for information on stock broker's designated website i.e. www.angelone.in.



Client Sign here

(Account Holder Signature)

Place: **Mentioned Place of Client**Date: **Mentioned Date of application**

Trading cum Demat Tariff for Individual - Custom Plan

	☒ BSDA category		☐ Non BSDA category
	Holding Value upto Rs. 4,00,000/-	Holding Value more than Rs. 4,00,000/ and upto Rs. 10,00,000/-	
DP AMC Charges	Nil	Free for 1 year From 2nd year - 100/- p.a + Taxes.	Free for 1 year INR 60 per quarter for Non-BSDA accounts from 2nd year onwards.
Transaction charges for sell (Debit) & Inter-settlement Debit per transaction (Refer Note No. 6 a)	Rs. 20	Rs. 20	Rs. 20
Auto square off charges	Rs. 20	Rs. 20	Rs. 20
Pledge / Unpledge Creation/ Closure/ Invocation (Refer Note No. 6 b)	Rs. 20	Rs. 20	Rs. 20
Demat/Remat (Per Certificate) Additional DIS requisition Additional Statement Physical Contract Note	Rs. 50	Rs. 50	Rs. 50
Cheque Bounce Charges	Rs. 350	Rs. 350	Rs. 350
Delay Payment Charges (DPC) on outstanding bill amount if not paid within due date	1.5 % Per month (levied every 15 days) for normal trades.	1.5 % Per month (levied every 15 days) for normal trades.	1.5 % Per month (levied every 15 days) for normal trades.
Interest on outstanding dues under Margin Trading Facility (MTF)	14.99% per annum (Levied every 15 days)	14.99% per annum (Levied every 15 days)	14.99% per annum (Levied every 15 days)
Notes :			
1. Brokerage is levied per executed order across all segments Brokerage is also charged on expired, exercised and assigned options contract. Brokerage levied to your trading account is subject to the maximum rates prescribed by the regulator (s) from time to time.			
2. Stamp Duty, GST, Education Cess & Statutory Levies will be charged as applicable. Details of such charges are provided here- https://www.angelone.in/exchange-transaction-charges . The said levies are subject to statutory and regulatory changes, as notified by the Government/Regulators/Exchanges from time to time.			
3. Above tariff is subject to change. Price Changes, if any, (other than statutory/regulatory price changes as stipulated in Point 2. above) will be notified 30 (thirty) days in advance.			
4. For availing "Easiest" facility of CDSL, the charges as levied by CDSL would be collected from client at actuals			
5. In case of BSDA, if there are no transactions in any quarter, no transaction statement will be sent for that quarter.			
6. a) Depository Participant Charges:Rs 20 + GST, split as below Male: INR 3.5 (CDSL) + INR 16.5 (Angel One Charge) Female: INR 3.25 (CDSL) + INR 16.75 (Angel One Charge) b) Pledge / Unpledge Charges: Margin pledge/unpledge/invoke: INR 20 + GST, split as below: INR 5 (CDSL) + INR 15 (Angel One Charge) + GST MTF pledge/unpledge/invoke: INR 20 + GST split as below: INR 12 (CDSL) + INR 8 (Angel One Charge) + GST CUSPA pledge/unpledge/invoke: INR 20 + GST split as below: INR 5 (CDSL) + INR 15 (Angel One Charge) + GST			
7. BSDA facility is subject to approval from CDSL. You will be eligible for BSDA subject to following conditions: a) The individual has or proposes to have only one demat account where he/she is the sole or first holder. b) The individual shall have only one BSDA in his/her name across all depositories c) Value of securities held in the demat account shall not exceed 10 Lakhs for debt and other than debt securities combined at any point of time.			
8. If you do not wish to avail BSDA facilities, you will have to specifically provide your consent on support@angelone.in by way of email from your registered e-mail ID to avail the facility of regular demat account.			
9. Interest on Cash Collateral Margin Shortfall - 12.5% per annum chargeable every 15 days.			

Client sign required here and If joint holders do the sign in below given required boxes

FH

(First/Sole Holder Signature)

SH

(Second Holder Signature)

TH

(Third Holder Signature)

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

STANDING INSTRUCTION TO MAINTAIN RUNNING ACCOUNT (VOLUNTARY)

I / We request you to maintain my/our accounts for funds on running accounts basis instead of 'bill to bill' settlement basis, unless I/we specifically request you for a payout of available free funds in the account. You may settle the accounts at Monthly/Quarterly (as opted in the account opening form) or at such other intervals as SEBI/ Exchanges may specify from time to time. I / We further authorize you to retain funds as may be

permitted by Exchanges/SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. The standing instruction/authorization for maintaining my/our account as running account shall remain valid until revoked in writing, addressed to you.

Client Code: _____

Clieñ sign here



(Account Holder Signature)

VOLUNTARY TERMS AND CONDITIONS

Whereas the client intends to open securities / commodities trading accounts with Angel One Ltd., (hereinafter referred as Angel) for the purpose of trading in Capital Market Segment, Futures & Options and Currency Derivative Segments and Commodities Futures & Options of the National Stock Exchange of India Ltd., the Bombay Stock Exchange Ltd., the Metropolitan Stock Exchange of India Limited, Multi Commodity Exchange, National Commodity & Derivatives Exchange Limited and Mutual Fund transactions Facilities offered by Stock Exchange and whereas for the purpose of more fully and conveniently availing of the services agreed to be provided by Angel and also the additional services that may be made available by Angel from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions. The Client understands that these terms and conditions are voluntary i.e, non-mandatory in nature but on their acceptance, these shall constitute the contract between the parties and bind them fully and be enforceable by each party against the other.

1. Authorization to debit additional charges with regard to Trading and Demat Account: Without prejudice to the other rights and obligations of the parties, the client understands and agrees that Angel may levy additional charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat account / Counter Demat account for any service rendered by Angel and as may be required by the Client, and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients ledger account with Angel One.

2. Payment by cheque: Where payment by the client towards margin money is made through cheque / pay order / demand draft issued in favor of Angel, trades may be executed at the discretion of Angel only upon realization of the funds of the said cheque / pay order / demand draft.

3. Lien: All securities, commodities funds and/or properties (Any assets available with Angel) of the Client as may be permitted by the Exchange(s) from time to time to be placed with Angel shall be subject to a lien for the payments or fulfillment of all undischarged liabilities and obligations of the Client in relation to its transactions or owing to any of the group companies of Angel. Angel shall be entitled to withhold such securities, commodities, funds and/or property of the Client as security towards any such un-discharged liabilities or obligation of the Client and to sell and/or appropriate to itself all such securities, commodities, funds or properties at its sole discretion & at any point of time, without notice to client.

4. Authorization for delivering / pledging shares: The client

understands and agrees that Angel may deliver to the Exchange any securities held by it on behalf of the client to discharge settlement obligations in respect of securities sold by the client or pledge the same with the clearing house of the recognized stock exchange in any segment where the Client is registered for trading for the purpose of providing margin for the trading positions contracted or to be contracted by the Client or with any scheduled commercial bank, Non-Banking Financial Institution or other financial institution for raising funds to the extent account of the client carries debit balance but without any obligation on its part to so raise funds by pledging the securities and without prejudice to the right of Angel to enforce, at its option, the collateral security in the securities to recover the debit balance at any time.

5. Authorization for Inter segment fund balance transfer and stock transfers: The client hereby authorizes Angel to transfer its debit/credit balances in the ledger account arising during the course of trades in any segment including commodities segment to its ledger account in any other segment including commodities segment or to transfer any stock purchased/lying in its account in any segment including commodities segment to its account in any other segment as often as may be required. The transfers may be completed by passing journal entries in the books of Angel.

6. Disclaimer: The Client understands and agrees that neither Angel nor any other party disseminating any market data, message or information through the Website of Angel or in any other media shall be liable for:

(a) Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or

(b) Any loss or damage arising from or occasioned by (i) Any such inaccuracy, error, delay or omission, (ii) Nonperformance, or (iii) Interruption in making available any such data, information or message, due to either any act or omission by Angel or any disseminating party or to any "force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire, war, insurrection, riot, labour dispute/unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of Angel or any disseminating party.

7. Manner and Mode of placing orders/instructions: The Client may communicate orders and other instructions to Angel or the sub-broker/authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. Client may use any one or more of these means as may be permitted by the SEBI / Exchanges from time to time for placing orders.

8. Nonexecution/ delay/cancellation of Orders: The Client may communicate orders and other instructions to Angel or the authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. The client hereby agrees that Angel or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as well as offline trading services, disruptions or congestion of communication net works, hardware or software problems, or failure of the electronic trading beyond the control of Angel or the Exchange.

9. Client not to act on representations of agents, employees: Client is aware that Angel has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of Angel.

10. Recording of Conversation: The client is aware and agrees that Angel may tape record the conversation between the client/client's representative and Angel, whether over the telephone or in person, as per applicable laws, rules and regulations of SEBI / Exchanges. Angel may produce before competent authorities, voluntarily or on such production being required by such authorities, recorded conversation or transcript thereof or both as valid evidence of the content of the conversation so recorded.

11. Confidentiality of Client Details: Angel may disclose the client information to any person /entity as required under the law or to any broker's Association or organisations in case of dispute in order to take informed decision. The Client hereby agrees and give its consents for the disclosure by Angel to any person or entity including but not limited to any independent third parties or any entities of Angel Group, whether within or outside India, of any information and data relating to Client or relating to Client's trading account with Angel for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed of or to be availed, by Client in future.

12. Disclosure as to Proprietary Trades by Angel: Angel may carry out proprietary trades in addition to trades on behalf of its Clients.

13. Severance: In case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.

14. No Waiver: No forbearance, relaxation or inaction by any party to require from the other performance or discharge of any obligation to be performed or discharged by the other under this document shall in any way affect, diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.

15. Notices: All notices or communications issued by Angel shall be served on the Client in any one or more or all of the following ways

at the ordinary business address and/or ordinary place of residence and/or last known address of the client:

15.1 (a) By ordinary post or (b) By registered post or (c) By express delivery post or (d) by SMS on registered mobile or by telephonic call or (e) By affixing it on the door at the last known business or residential address or (f) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (g) By advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated or (h) By publishing it on the website of Angel wherein secured log-id and password to Client is provided or (i) By a notice posted on the notice board of the Exchange if no address be known or (j) By electronic mail or fax or (k) By hand delivery or By Courier or any other approved mode as may be allowed for communication.

15.2 Notwithstanding anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between Angel and the Client may be made orally.

16. Electronic Contract Note (ECN):

16.1. Client agree to receive contract notes in Electronic/Digital Form (ECN) authenticated by means of a digital signature in lieu of Physical Contract notes through e-mail by authorizing Angel in this connection and providing the e-mail address(es) at which the Client wishes the ECN to be sent.

16.2. The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform Angel either in writing or via E-mail within reasonable time of the receipt of the same. Angel shall also publish the Contract Note on the Web site www.angelone.in or on any other designated location specified by Angel from time to time. The Client will be issued a login and password by which the Client can login in to his account and view/save/print the ECN. Should the Client experience any difficulty in opening the ECN, Angel may, on advice by the Client, make the Contract Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advise Angel of such a difficulty shall amount to valid delivery and viewing of the document by the Client.

17. In the event of the Contract notes (ECN) not received by me/us in electronic mode due to the mail bouncing/failure of email servers, loss of connectivity etc. I/we agree to acknowledge the receipt of Contract Notes in physical mode, provided such Contract Notes are received by me/us within the time specified by regulatory authorities. In case you do not receive intimation of the mail bouncing, the same will be construed as my/our confirmation to the receipt of Contract Notes (ECN) in electronic mode and you will not be required to send the Contract Notes in physical mode. In this connection, I/we hereby confirm that I/we am/are agreeable to receive Contract Notes in digital mode on my/our under mentioned email id. I/We understand that I/we am/are required to intimate any changes in the e mail id mentioned above needs to be communicated by me/us.

18. Electronic Payment Gateway for Net Banking Services: Angel may provide on its internet trading website, without additional cost to the Client, access to Electronic Payment Gateways provided by

various banks for facilitating transfer of funds from Client's bank account to the account of the Client with Angel. Client understands that Angel is only providing access to the electronic fund transfer facility provided by the banker of the Client through Angel's website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway or for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and/or any incidental or consequential claims arising thereout. Client undertakes not to make Angel a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that Angel's liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.

19. Internet / Wireless Technology based Trading facility:

19.1. Angel offers Internet and mobile Trading facility for transaction in securities on the concerned Exchanges including facilities for online application of IPO / FPO / NFO / Bond issues or any other issues of securities or services to apply/purchase/redeem/sale/buyback or otherwise deal in the units of Mutual Funds (hereinafter referred to as "the Internet/wireless Trading system") through Exchange approved software. The Client can route its orders to Angel over the internet/mobile/laptop with data card or any other devices which use internet protocol for purchasing, selling and dealing in securities. The Client may avail of such Trading facility provided by Angel by complying with the formalities prescribed therefore.

19.2. Non-usage of Internet/Wireless Trading Facility: If the Client does not use the Internet/Wireless Trading Facility for a continuous period of 3 months or such other period as Angel may notify, the facility may be deactivated without notice and the Client shall comply with the prescribed formalities for reactivating the facility. Trades can, however, be executed at all time by placing orders off-line with the concerned branch of Angel.

19.3. The client understands and agrees that Angel has different product of the Internet Trading /Wireless Trading softwares which have been approved by the Exchanges and the client shall be allotted such product as may be chosen by him. The client also understands and agrees that depending on the trading activity of the client, Angel shall have the exclusive right and liberty to change the product version allotted to the client and allot a different product version of the Internet Trading/Wireless Trading facility.

19.4. Orders of Client subject to review by Angel: The Client agrees

that the Angel may, on being suspicious of any of the transactions, review any order placed by a Client, which may cause delays in the processing of the Client's order or may result in rejection of such order."

20. Extra Ordinary Events and termination/suspension of trading facility: Angel will not be liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, lack of connectivity, congestion or disruption of communication net-work or links, software glitches or corruption, low processing speed, strikes or any other conditions beyond Angel's control resulting in nonexecution, partial or incomplete execution of orders and the resulting financial loss, if any Angel may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event of any such extraordinary event occurring without giving prior notice to the Client.

21. Amendments to the terms and conditions: Angel reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying or varying the provisions thereof by giving 15 days notice to the Client. In the event where the client has not objected to revised terms and conditions within 15 days of receiving the notification, the same shall be binding on the client.

22. Mutual Fund Service System Facility / BSE Star MF: Client is registered with Angel One and has executed Know Your Form and certain other documents for the purpose of trading in securities market on the recognized Stock Exchange (herein after referred as "Exchange"). Incase client opts for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the SEBI recognized Stock Exchanges (Mutual Fund Transaction Facilities). Know Your Client details as submitted by the client for the stock broking shall be considered for the purpose of Mutual fund transaction facilities and abide by the terms and conditions as mentioned in the circulars as may be specified by the Exchanges from time to time in this regard. Client shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). Client shall read & understand the contents of the Scheme Information Document and Key Information Memorandum, addendum issued regarding each Mutual Fund Schemes with respect to which client choose to subscribe / redeem. Client further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. Client confirm to have read & understood the terms & conditions for using Mutual Fund transaction facility as stated in KYC handout (customer copy).

I/We hereby confirm to have read and understood the terms and conditions as mentioned above and agree to abide by the same.

Client sign here



(Account Holder Signature)

Most Important Terms and Conditions (For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

If joint Holder sign here

client Sign here



(First/Sole Holder Signature)



(Second Holder Signature)



(Third Holder Signature)

Place: Mentioned Place of Client

Date: DD / MM / YYYY

Policy on Handling of Good Till Cancelled Orders of Client.

The GTT feature allows you to place a limit order, at the limit price selected by you (the order price) and a trigger price (the trigger price), only when the trigger price is met, your GTT order will be sent to the Exchange for execution at the order price. In case the order is triggered on any day and the order price is not exactly met, all such orders will be automatically cancelled and removed from the GTT queue at Angel One Limited at the end of trading session on the same day. All GTT orders triggered and sent to the Exchange, if not executed for any other reasons on the same day, will also be automatically cancelled. You will need to place the same GTT orders again.

- All GTT orders that do not meet the trigger price in 365 days shall be cancelled. 365 days shall be counted as consecutive calendar days from the date of placing the orders
- In case there is a change in exchange series or any corporate actions, such as; splits, bonuses, dividends, merger, reverse mergers, amalgamations, takeover, delisting, rights issue, etc. where there is a significant impact/change in the scrip price, the GTT will be cancelled at the sole discretion of Angel, one day prior to the Ex-date of such corporate action effect taking place on the stock price
- We shall also provide an intimation to the clients about the upcoming corporate actions at least 5 days prior to the Ex-date of corporate action.

client sign here

FH 

(First/Sole Holder Signature)

SH 

(Second Holder Signature)

TH 

(Third Holder Signature)

Place: Mentioned Place of Client

Date: DD / MM / YYYY

ANNEXURE-A

NOMINEE DETAILS FOR TRADING AND DEMAT ACCOUNT

Client Name		Trading Code		DP ID	12033201, 12033202 & 12033203	Client ID	
Nominee Details	*Nominee 1		*Nominee 2		*Nominee 3		
First Name							
Middle Name							
Last Name							
Address							
City							
State							
PIN							
Contact Details							
PAN							
UIDAI							
Date of Birth							
% of allocation of Securities							
Relationship with BO							
Email ID of nominee(s)							
Nominee Identification details – [Please tick any one of following and provide details of same]							
☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank Account No ☐ Proof of Identity ☐ Demat Account ID							

Mentioned here the
Nominee details of
the client and at the
same time client
can allot 3
Nominee at a time

GUARDIAN DETAILS (IF NOMINEE IS A MINOR)

First Name			
Address			
City			
State / Country			
PIN			
Relationship with Nominee			
Email ID of Guardian			
Contact Details			
Guardian Signature			
Guardian Identification details – [Please tick any one of following and provide details of same]			
☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank Account No ☐ Proof of Identity ☐ Demat Account ID			

If Nominee is Minor
so compulsory
Guardian Details
has to be fill up



Client Sign here
 (Account Holder Signature)

Date			
Place			
WITNESSES (ONLY APPLICABLE IN CASE THE ACCOUNT HOLDER HAS MADE NOMINATION)			
Name			
Address			
Signature			
"In case of multiple nominees, please choose any one nominee who will be credited with residual securities or securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be credited with residual shares, if any".			

Date place & Nominee witness compulsory required here

OFFICE USE ONLY			
(To be filled by Depository Participant)			
DP ID	12033201, 12033202 & 12033203	Client ID	
Nomination form accepted and registered vide Registration No.: _____			
I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.			
		Seal/Stamp of the Intermediary	
Signature of the Authorised Signatory			

Declaration Form for opting out of nomination
[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

To	Date	D	D	M	M	Y	Y	Y	Y
Angel One Limited 601, 6th Floor, Ackruti Star, Central Road, MIDC, Andheri East, Mumbai - 400093.									
UCC/DP ID									
Client ID (only for Demat account)									
Sole/First Holder Name									
Second Holder Name									
Third Holder Name									
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.									

If client dont want to Nominate Anyone so compulsory signed this Nominee Opt-out form

Name and Signature of Holder(s)*

FH

(Account Holder Signature)

client sign here

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Name			
Address			
Signature			

If client is Illiterate of Affixed the Thumb impression then witness is compulsory required here

POWER OF ATTORNEY - POA (VOLUNTARY) AS PER EQUITY - NRE

DULY STAMPED

TO ALL WHOM THESE PRESENTS SHALL COME I/we _____, (name of the BO, with full address), Indian inhabitant / Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Service (India) Limited, with Angel One Ltd. (a Depository Participant registered with CDSL bearing DP-ID 12033201 & 12033202.

And Whereas I/we are registered as a client with Angel One Ltd. (trading member of Bombay Stock Exchange Ltd., National Stock Exchange of India Ltd., Metropolitan Stock Exchange of India Ltd., National Commodity & Derivatives Exchange Ltd., and Multi Commodity Exchange of India Ltd For dealing in the securities market)

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTHAT I/We THE ABOVE NAMED DO HEREBY NOMINATE, CONSTUTE / AND APPOINT M/s Angel One Ltd. As my/our true and lawful attorney (here in after referred to as the Attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To apply for and subscribe, to on my/our instructions, to various products like Initial Public Offerings, Public Issues (shares as well as debentures), Rights etc., including redemption where applicable, through online / offline platform and to perform, do, undertake, discharge all incidental and ancillary, deeds, matters, things, functions and obligations in connection therewith.
2. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs, Mutual Funds and other products by way of short messaging services or e-mails whenever such transactions are carried out on my/our behalf.
3. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
4. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise if any power herein.
5. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power shall continue in full force and effect until my/our Attorney shall received written notice of revocation thereof, signed by me/us; in the event of termination thereof my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transactions, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Attorney. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.

 _____

(First/Sole Holder Signature)

 _____

(Second Holder Signature)

 _____

(Third Holder Signature)

I/We accept (For Angel One Ltd.)

Signature of Witness:

 _____

Name: _____

Address: _____



Authorised Signature: _____

Date: _____

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

Depository Participant Name	Demat A/c No.	Exchange	Name / Type	Account Purpose	CMBPID
ANGEL ONE LIMITED	1203320006951435	NSE	CDSL - NSE POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1203320008188083	NSESLB	CDSL - SLBM POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1100001100017670	NSE	NSE EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1100002300001190	NSESLB	SLBM EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1203320186015090	NSE	CDSL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ICICI BANK LIMITED	IN30134820306643	NSE	NSDL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ANGEL ONE LIMITED	1203320030135829	NSE	CLIENT SECURITIES MARGIN FUNDING ACCOUNT	MFT Pledge Account	
ANGEL ONE LIMITED	1203320030135814	NSE	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	Margin Pledge Account	
ILFS	IN30009510184021	NSE	NSDL - NSE POOL ACCOUNT	Payin & Payout Account	IN556929
HDFC BANK	IN30012610003588	BSE	NSDL BSE POOL ACCOUNT	Payout Account	In606125
ANGEL ONE LIMITED	1203320000006564	BSE	CDSL BSE POOL ACCOUNT	Payout Account	
ANGEL ONE LIMITED	1203320000006579	BSE	CDSL BSE PRINCIPAL ACCOUNT	Payin Account	
ANGEL ONE LIMITED	1100001000014641	BSE	BSE EARLY PAY IN	Early Payin Account	

DEMAT DEBIT AND PLEDGE INSTRUCTION -DDPI (VOLUNATRY) - NRE

DULY STAMPED

TO ALL TO WHOM THESE PRESENTS SHALL COME I/we _____ **CLIENT NAME HERE AS PER PAN CARD** _____, (name of the BO),
Indian inhabitant/Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited,
with Angel One Ltd (a Depository Participant registered with CDSL) bearing DP-ID 12033201, 12033202 & 12033203

And Whereas I/we are registered as a client with Angel One Ltd (trading member of Bombay Stock Exchange Ltd.,
National Stock Exchange of India Ltd., Metropolitan Stock Exchange of India Ltd., National Commodity & Derivatives Exchange
Ltd., and Multi Commodity Exchange of India Ltd for dealing in the securities market.

Dear Sir/Madam,

I/We executing the Demat Debit and Pledge instruction in favour of Angel One Ltd., authorising them to operate aforesaid
beneficiary account for the below mentioned specific purpose.

S.No.	Purpose	Signature of First Holder	Signature of Second Holder	Signature of Third Holder
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	✓ Signature	Signature	Signature
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	✓ Signature	Signature	Signature
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	✓ Signature	Signature	Signature
4	Tendering shares in open offers through Stock Exchange platforms	✓ Signature	Signature	Signature

Compulsory client sign required in four boxes & if joint then apply same process to next 2 column

This authorization will continue to remain valid until revoked in writing by me/us. Such revocation or termination shall in no way affect the validity of this document (DDPI) with reference to any transactions initiated by me/us, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above.

I/We accept (For Angel One Ltd.)



Authorised Signature: _____ Date: _____

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

Depository Participant Name	Demat A/c No.	Exchange	Name / Type	Account Purpose	CMBPID
ANGEL ONE LIMITED	1203320006951435	NSE	CDSL - NSE POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1203320008188083	NSESLB	CDSL - SLBM POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1100001100017670	NSE	NSE EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1100002300001190	NSESLB	SLBM EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1203320186015090	NSE	CDSL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ICICI BANK LIMITED	IN30134820306643	NSE	NSDL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ANGEL ONE LIMITED	1203320030135829	NSE	CLIENT SECURITIES MARGIN FUNDING ACCOUNT	MFT Pledge Account	
ANGEL ONE LIMITED	1203320030135814	NSE	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	Margin Pledge Account	
ILFS	IN30009510184021	NSE	NSDL - NSE POOL ACCOUNT	Payin & Payout Account	IN556929
HDFC BANK	IN30012610003588	BSE	NSDL BSE POOL ACCOUNT	Payout Account	In606125
ANGEL ONE LIMITED	1203320000006564	BSE	CDSL BSE POOL ACCOUNT	Payout Account	
ANGEL ONE LIMITED	1203320000006579	BSE	CDSL BSE PRINCIPAL ACCOUNT	Payin Account	
ANGEL ONE LIMITED	1100001000014641	BSE	BSE EARLY PAY IN	Early Payin Account	

POWER OF ATTORNEY - POA (VOLUNTARY) AS PER EQUITY - NRO

DULY STAMPED

TO ALL WHOM THESE PRESENTS SHALL COME I/we _____, (name of the BO, with full address), Indian inhabitant / Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Service (India) Limited, with Angel One Ltd. (a Depository Participant registered with CDSL bearing DP-ID 12033201, 12033202 & 12033203.

And Whereas I/we are registered as a client with Angel One Ltd. (trading member of Bombay Stock Exchange Ltd., National Stock Exchange of India Ltd., Metropolitan Stock Exchange of India Ltd., National Commodity & Derivatives Exchange Ltd., and Multi Commodity Exchange of India Ltd For dealing in the securities market)

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTHAT I/We THE ABOVE NAMED DO HEREBY NOMINATE, CONSTUTE / AND APPOINT M/s Angel One Ltd. As my/our true and lawful attorney (here in after referred to as the Attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To apply for and subscribe, to on my/our instructions, to various products like Initial Public Offerings, Public Issues (shares as well as debentures), Rights etc., including redemption where applicable, through online / offline platform and to perform, do, undertake, discharge all incidental and ancillary, deeds, matters, things, functions and obligations in connection therewith.
2. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs, Mutual Funds and other products by way of short messaging services or e-mails whenever such transactions are carried out on my/our behalf.
3. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
4. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise if any power herein.
5. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power shall continue in full force and effect until my/our Attorney shall received written notice of revocation thereof, signed by me/us; in the event of termination thereof my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transactions, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Attorney. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.

 _____

(First/Sole Holder Signature)

 _____

(Second Holder Signature)

 _____

(Third Holder Signature)

I/We accept (For Angel One Ltd.)

Signature of Witness:

 _____

Name: _____

Address: _____



Authorised Signature: _____

Date: _____

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

Depository Participant Name	Demat A/c No.	Exchange	Name / Type	Account Purpose	CMBPID
ANGEL ONE LIMITED	1203320006951435	NSE	CDSL - NSE POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1203320008188083	NSESLB	CDSL - SLBM POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1100001100017670	NSE	NSE EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1100002300001190	NSESLB	SLBM EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1203320186015090	NSE	CDSL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ICICI BANK LIMITED	IN30134820306643	NSE	NSDL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ANGEL ONE LIMITED	1203320030135829	NSE	CLIENT SECURITIES MARGIN FUNDING ACCOUNT	MFT Pledge Account	
ANGEL ONE LIMITED	1203320030135814	NSE	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	Margin Pledge Account	
ILFS	IN30009510184021	NSE	NSDL - NSE POOL ACCOUNT	Payin & Payout Account	IN556929
HDFC BANK	IN30012610003588	BSE	NSDL BSE POOL ACCOUNT	Payout Account	In606125
ANGEL ONE LIMITED	1203320000006564	BSE	CDSL BSE POOL ACCOUNT	Payout Account	
ANGEL ONE LIMITED	1203320000006579	BSE	CDSL BSE PRINCIPAL ACCOUNT	Payin Account	
ANGEL ONE LIMITED	1100001000014641	BSE	BSE EARLY PAY IN	Early Payin Account	

DEMAT DEBIT AND PLEDGE INSTRUCTION -DDPI (VOLUNATRY) - NRO

DULY STAMPED

TO ALL TO WHOM THESE PRESENTS SHALL COME I/we _____, (name of the BO),
Indian inhabitant/Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited,
with Angel One Ltd (a Depository Participant registered with CDSL) bearing DP-ID 12033201, 12033202 & 12033203

And Whereas I/we are registered as a client with Angel One Ltd (trading member of Bombay Stock Exchange Ltd.,
National Stock Exchange of India Ltd., Metropolitan Stock Exchange of India Ltd., National Commodity & Derivatives Exchange
Ltd., and Multi Commodity Exchange of India Ltd for dealing in the securities market.

Dear Sir/Madam,

I/We executing the Demat Debit and Pledge instruction in favour of Angel One Ltd., authorising them to operate aforesaid
beneficiary account for the below mentioned specific purpose.

S.No.	Purpose	Signature of First Holder	Signature of Second Holder	Signature of Third Holder
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	✓ Signature	Signature	Signature
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	✓ Signature	Signature	Signature
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	✓ Signature	Signature	Signature
4	Tendering shares in open offers through Stock Exchange platforms	✓ Signature	Signature	Signature

Compulsory client
sign required in
four boxes & if joint
then apply same
process to next 2
column

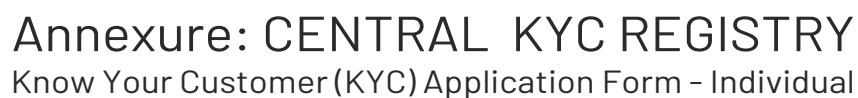
This authorization will continue to remain valid until revoked in writing by me/us. Such revocation or termination shall in no way
affect the validity of this document (DDPI) with reference to any transactions initiated by me/us, prior to the actual receipt by the
Attorney of the notice of such revocation or termination, as above.

I/We accept (For Angel One Ltd.)

 Authorised Signature: _____ Date: _____

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

Depository Participant Name	Demat A/c No.	Exchange	Name / Type	Account Purpose	CMBPID
ANGEL ONE LIMITED	1203320006951435	NSE	CDSL - NSE POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1203320008188083	NSESLB	CDSL - SLBM POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1100001100017670	NSE	NSE EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1100002300001190	NSESLB	SLBM EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1203320186015090	NSE	CDSL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ICICI BANK LIMITED	IN30134820306643	NSE	NSDL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ANGEL ONE LIMITED	1203320030135829	NSE	CLIENT SECURITIES MARGIN FUNDING ACCOUNT	MFT Pledge Account	
ANGEL ONE LIMITED	1203320030135814	NSE	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	Margin Pledge Account	
ILFS	IN30009510184021	NSE	NSDL - NSE POOL ACCOUNT	Payin & Payout Account	IN556929
HDFC BANK	IN30012610003588	BSE	NSDL BSE POOL ACCOUNT	Payout Account	In606125
ANGEL ONE LIMITED	1203320000006564	BSE	CDSL BSE POOL ACCOUNT	Payout Account	
ANGEL ONE LIMITED	1203320000006579	BSE	CDSL BSE PRINCIPAL ACCOUNT	Payin Account	
ANGEL ONE LIMITED	1100001000014641	BSE	BSE EARLY PAY IN	Early Payin Account	



Client Code: _____

Maiden Name	In case of Married Women		
Mother Name	First Name	Middle Name	Last Name

 Signature / Thumb Impression of Applicant

Application Type	☐ New	☐ Update
KYC Number		(Mandatory for KYC update request)

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

	First Holder	Second Holder	Third Holder
Client Code:			
Demat Account No.			
1. Indicate (✓) your Tax Residency / Citizenship / Nationality	☒ India ☐ U.S.A ☐ Others	☐ India ☐ U.S.A ☐ Others	☐ India ☐ U.S.A ☐ Others
If ticked on "Others" and/or "U.S.A", please provide all details under point no. 2,3,4,5 below:			
2. Specify City and Country of Birth			
3. Specify Country(ies) of Tax Residency/ Citizenship / Nationality / Green card holder, other than India			
4. Tax Identification Number (for U.S.A.) or its functional equivalent (other than U.S.A.)	TIN number here If available		
5. Source of Wealth	☐ Salary ☐ Business ☐ Gift ☐ Rental Income ☐ Royalty ☐ Ancestral Property ☐ Prize Money ☐ Others	☐ Salary ☐ Business ☐ Gift ☐ Rental Income ☐ Royalty ☐ Ancestral Property ☐ Prize Money ☐ Others	☐ Salary ☐ Business ☐ Gift ☐ Rental Income ☐ Royalty ☐ Ancestral Property ☐ Prize Money ☐ Others

DECLARATION

I / We hereby declare, agree and confirm the following:

- The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Angel One Limited within 30 days, in case of any change in the above given status on a future date;
- If I / we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), I / We shall provide a certificate of relinquishment of citizenship (Loss of nationality) OR a self certification stating reasons for not having such a certificate despite relinquishing US citizenship OR not obtaining US citizenship at birth. Client sign here
- If my / our Country of Birth is US, however, I / We declare that I / We are not US Person, I / We shall provide a certificate of relinquishment of citizenship (Loss of nationality) OR a self certification stating reasons for not having such a certificate despite relinquishing US citizenship OR not obtaining US citizenship at birth.

 _____

(First Holder Signature)

 _____

(Second Holder Signature)

 _____

(Third Holder Signature)

What is FATCA / CRS?

The U.S. government introduced the Foreign Account Tax Compliance Act, 2010 (FATCA) for obtaining information on accounts held by U.S. taxpayers in other countries. Further, Organization for Economic Co-operation & Development (OECD) and G20 countries agreed for automatic exchange on information through Common Reporting Standards (CRS). The Government of India has signed an Inter-Government Agreement (IGA) with US and has also joined the Multilateral Competent Authority agreement (MCAA) for automatic sharing of information with member countries of OECD and G20. By virtue of India signing an IGA with US and joining MCAA, Indian financial institutions will have to provide the required financial information to Indian tax authorities which in turn would forward reportable information to US IRS and member countries of OECD and G20 countries.

In order to implement FATCA and CRS norms in India, Angel One Limited is required to implement procedures to identify U.S. account holders or other jurisdictions reportable accounts, perform due diligence and obtain documentary evidence wherever required and report details of such accounts to relevant tax authority.

US Person means: In case of individuals, U.S. person means a citizen or resident of the United States. Persons who would qualify as U.S. persons could be born in United States, born outside the United States of a US parent, Naturalized citizens, Green Card Holders, tax residents.

Who is Reportable Person (Non US) under Common Reportable Standards (CRS)?

Under Common Reportable Standards (CRS), reportable person means Tax residents of a reportable foreign jurisdiction other than U.S. (Please note the above information is provided only for quick reference to customers. You are requested to consult a legal/ tax advisor if in doubt.

Documents to be collected if Customer's Country of birth is U.S. but declare that he/ she are not a U.S. person

- Certificate of relinquishment of citizenship (Loss of nationality certificate); OR
- Self certification for stating reasons for not having such a certificate despite relinquishment U.S. citizenship; OR
- Self certification for stating reasons for not obtaining U.S. citizenship at birth.

DECLARATION BY NRI CLIENTS

Date : Current Date fill up here

To,
Angel One Ltd
601, 6th Floor, Ackruti Star, Central Road,
MIDC, Andheri East, Mumbai - 400093.

With reference to the opening of trading Account with you for availing facility for trading in Securities on the stock exchanges pursuant to the Regulatory guidelines of stock exchanges / Depository & other voluntary documents executed by me with you, I, hereby unconditionally & unequivocally, state, declare, undertake, confirm Angel One Ltd (hereinafter referred to as "ANGEL") as under:

1. "I/We also declare that I/we have complied and will continue to comply with FEMA & other applicable regulations from time to time."
2. I am nonresident Indian / Person of Indian Origin as defined under Foreign Exchange Management Act (FEMA) and presently visiting India (strike out if not applicable).
3. I understand that the trading account is opened on the basis of statement / declarations made by me, and that if any of the statement / declarations made herein is found to be incorrect in material particulars, ANGEL may discontinue my trading account.
4. I confirm and agree that the trading account will be used for bona fide transactions not involving any violation of the provisions of any applicable Regulations.
5. I undertake to intimate to ANGEL about my return to India for permanent residency immediately on arrival.
6. I confirm that all Investments & disinvestment in India are covered either by general or special permission of Reserve Bank of India.
7. In case of F & O, I shall keep margin deposit amount with _____ as stipulated by ANGEL from time to time.
8. I understand that as NRI I am not allowed to do any off market transfer of my holding's to a person other than my relative as defined in section 2 (77) of Indian Companies Act, 2013.
9. Any notice / correspondence / contract notes / statement of accounts / statement of securities sent to me at any of the address given in the Know Your Client (KYC) form in the ordinary course of post / courier / fax or on the email ID mentioned in the said KYC form or intimated separately, as the case may be, for the purpose of receiving electronic contract notes shall be deemed to have been received by me.
10. I hereby confirm having understood that ANGEL may decline to comply with any instructions authorized by me if in the ANGEL's opinion; compliance therewith would be illegal or result in a breach of any applicable laws or regulation.
11. I confirm that the bank having my PIS account is given standing instruction to honor all the contract notes relating to purchase trades received from ANGEL. Copy of the instruction so given to the bank shall be provided to ANGEL for their record.
12. I agree and confirm that in the event I desire to withdraw the standing instruction given as aforesaid, I shall serve at least 15 (Fifteen) working days advance written notice on ANGEL. Such revocation shall be effective only on and from the date of expiry of 15 (Fifteen) working days from the date of service of such notice on ANGEL provided that such revocation shall not have any effect on the transactions in securities executed by me before the effective date of such notice of revocation and I agree and undertake that I shall continue to be liable and bound by the transactions and obligations undertaken by me with ANGEL prior to effective date of such revocation and ensure that bank honor all such instructions received from ANGEL on or before the effective date of revocation.
13. I shall carry out all our secondary market transactions only through ANGEL. In case if I register myself as clients with any other Member Broker, we shall keep ANGEL informed about the same.
14. I hereby authorize ANGEL to ask bank the amount lying as balance in PIS account on daily basis for the purpose of their risk management and I confirm having instructed the bank to extend co-operation in this regard and to disclose balance in the PIS bank account to ANGEL whenever required by ANGEL.
15. I hereby agree and confirm to indemnify and save, defend and keep ANGEL harmless and indemnified, at all times from and against all actions, causes, suits, proceedings, claims and demands whatsoever made on the ANGEL by any person and against all costs charges, expenses, damages and sums of money (including legal expenses) incurred, suffered or sustained by ANGEL for any non compliance of applicable act, rules or regulations by me or due to breach of the terms and conditions of my obligations under Rights and Obligations or any other agreement/document executed by me for availing such services from ANGEL.
16. I agree not to close the bank account without first obtaining No Objection from ANGEL if I am availing the online trading facility for trading in securities. We confirmed having authorised the Bank to reject any such closure request made by me if not accompanied by No Objection

issued by ANGEL. I confirm having authorised the Bank to debit / block my bank account on the instructions issued by ANGEL for any dues that may be outstanding and payable by me to ANGEL for the transactions carried out by me prior to issuance of No Objection.

17. I further, unconditionally state and declare that I shall comply with all applicable Acts, Rules, Regulations etc. in the country / state / area where I am currently residing as well as all statutory and regulatory provisions enforced in India including but not limited to Foreign Exchange Management Act, 2000, Reserve Bank of India Act, 1934 and Securities and Exchange Board of India Act, 1992 for trading on Exchanges, subscription of shares through Initial Public Offerings, Investment in Mutual Funds registered in India etc.

18. I am aware that as per the RBI guidelines the Non-Resident Indians (NRI's) and Persons of Indian Origin (PIO's) are allowed to invest in the secondary capital markets in India through the portfolio Investment Scheme (PIS). Under this scheme, NRI's / PIO's can acquire shares / debentures of Indian Companies through the stock exchanges in India. These investments are governed and monitored on daily basis by the Reserve Bank of India (RBI). On reaching the aggregate ceiling limit as fixed by RBI from time to time, the RBI advises all designated bank branches to stop purchases on behalf of their NRI / PIO clients. The Reserve Bank also informs the general public about the 'caution' and the 'stop purchase' in these companies through a press release. I hereby acknowledge that I am aware of the RBI guidelines in relation to investments in the secondary market in India. I hereby agree to keep myself abreast of the ceiling limits on investments as published by RBI from time to time and also agree that I shall immediately reverse my transaction, if such transaction breaches the ceiling limits as imposed by RBI. In case I am unable to reverse such transaction immediately, I authorise the member to do so under intimation to the Client.

19. I confirm that the information set out here in above is true, complete & accurate & ANGEL shall be informed from time to time of any changes.

Client sign here

FH

(Account Holder Signature)

UNDERTAKING

To,

Angel One Ltd

601, 6th Floor, Ackruti Star, Central Road,
MIDC, Andheri East, Mumbai - 400093.

Date: _____

Mentioned the
Passport details
here

This is with reference to my passport number _____ submitted as an identity and address proof which was issued on _____ and having an expiry date _____.

I hereby undertake that the above passport is the only passport that I hold in my name.

Or*

I hereby undertake that the following passports are held by me :

Sr. No.	Place of issue (country)	Passport Number	Date of Issue	Date of Expiry

*strike of the option which is not applicable

Thanking you

Yours sincerely,

Name: _____

Client sign & name
here

FH

(Account Holder Signature)



Registered / Correspondence Office: 601, 6th Floor, Ackruti Star, Central Road, MIDC, Andheri East, Mumbai - 400093.
Tel.: 18001020 Website: www.angelone.in CIN: U67120MH1996PTC101709
Member: Bombay Stock Exchange Ltd. / National Stock Exchange of India Ltd./ Metropolitan Stock Exchange of India Ltd. /
Multi Commodity Exchange of India Ltd. / National Commodity & Derivatives Exchange Ltd. / CDSL-Depository Participant

SEBI Registration No.: INZ000161534

BSE & NSE Cash Segment

TM Code - BSE 612 TM Code - NSE 12798

BSE & NSE Commodities Derivative Segment

TM Code - BSE 612 TM Code - NSE 12798

BSE & NSE F&O Segment (Trading Cum Clearing Member)

TM Code - BSE 612 TM Code - NSE 12798

NSE Currency Derivatives Segment (Trading cum Clearing Member)

TM Code - NSE Currency 12798

BSE Currency Derivatives Segment (Trading Cum Clearing Member)

TM Code - 612

Multi Commodity Exchange of India Ltd. (Trading cum Clearing Member)

TM Code - 12685

National Commodity & Derivatives Exchange Ltd. (Trading cum Clearing Member)

TM Code - 00220

NSE SLBM segment (Trading Cum Clearing Member)

TM Code 12798

CDSL-Depository Participant - SEBI Registration No.: IN-DP-CDSL-234-2004 Date: 12/5/2004 |

CDSL DP ID: 12033200, 12033201, 12033202 & 12033203

For any grievance/dispute please contact Angel One Limited at the above address or email id: support@angelone.in and
Phone No.:18001020. In case not satisfied with the response, please contact the concerned exchange (s):

BSE Email: is@bseindia.com & Tel.: (022) 2272 8097 | NSE Email: ignse@nse.co.in & Tel.: (022) 2659 8190 | MCX Email:
customersupport@mcxindia.com & Tel No: (022) 6731 8888 | NCDEX Email: askus@ncdex.com & Tel No: (022) 6640 6899

Compliance Officer Name: Mr. Pranav Tanna. Tel.: 91 22 18001020. Email ID: compliance@angelbroking.com

CMD Name: Mr. Dinesh Thakkar - 08657864229 . Email ID: support@angelone.in

Also refer our website: www.angelone.in for updated details, if any.



For details: Please contact your nearest branch or call
Centralized Helpdesk at: 18001020
or write to support@angelone.in